

## Donating Securities and Mutual Funds

Donating appreciated stock or mutual fund shares is a strategic gift-planning method. The donor receives an itemized deduction in the amount of the fair market value (FMV) of the shares donated as of the date of the gift. This value is deductible up to 30% of the donor's adjusted gross income and can be carried over for up to five years after the year of the gift.

### ***Procedure***

To accomplish a gift of securities or mutual fund shares, contact our office at (816) 714-2363 or 816-714-2359.

**If your securities or mutual funds are in "certificate form"** it will be necessary to execute a stock power form which can be provided by your broker or other financial adviser or by our broker, Wells Fargo (see below).

**If your securities or mutual funds are in "street name" or held by a brokerage firm** it will be necessary to wire transfer instructions using our diocesan brokerage account. The Diocese of Kansas City-St. Joseph is designated as **Catholic Diocese of Kansas City-St. Joseph, Wells Fargo Advisers Account #5328-3701, DTC #0141**. Please contact Linda Maclean at (913)234-7704, Fax (913)234-7718 or [linda.maclean@wellsfargo.com](mailto:linda.maclean@wellsfargo.com).

In any case, it is necessary to identify the shares to be given; the account number(s) of the Delivering Firm; the parish, ministry or school that is to benefit from the proceeds of the gift. By contacting the numbers listed above, a form for this purpose can be provided to facilitate the orderly collection of this information.

### **Note well:**

When executing a gift of securities or mutual funds, it is necessary to notify:

- (1) your broker or financial adviser
- (2) the diocese's broker listed above
- (3) the Office of Stewardship and Development

*For additional information:*

Contact Kathy Sloss, (816) 714-2359 or [sloss@diocesekcsj.org](mailto:sloss@diocesekcsj.org). or Laura Gray (816)714-2363 or [gray@diocesekcsj.org](mailto:gray@diocesekcsj.org).

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Catholic Diocese of Kansas City – St. Joseph  
Gift of Securities  
DTC Transfer

Please gift \_\_\_\_\_ of \_\_\_\_\_  
Shares/Unit Security

From my Account Number \_\_\_\_\_ at \_\_\_\_\_  
Delivering Firm

To: Catholic Diocese of Kansas City – St. Joseph  
Wells Fargo Advisors, LLC  
Account #5328-3701  
DTC # 0141

For the benefit of: \_\_\_\_\_

Signature: \_\_\_\_\_

Signature: \_\_\_\_\_

Contact: Linda Maclean  
Registered Client Associate

Margo A. Shepard, CFP, CIMA  
Senior Financial Advisor

Wells Fargo Advisors, LLC  
7500 College Blvd. Suite 200  
Overland Park, KS 66210

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[linda.maclean@wellsfargo.com](mailto:linda.maclean@wellsfargo.com)

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Instructions to Donor:

1. Please give this original signed letter of authorization to the delivering firm
2. Please fax or mail a copy of this signed letter to (913) 234-7718 Attn: Linda Maclean so we can notify the above organization and track your gift.